8FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

960/216

U.S. APPLICATION NO. (If known, see 37 CER 1.5)

INTERNATIONAL APPLICATION NO

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED:

PCT/JP2005/001299

25 January 2005

20 February 2004

TITLE OF INVENTION

BRAYTON CYCLE DEVICE AND EXHAUST HEAT ENERGY RECOVERY DEVICE FOR INTERNAL COMBUSTION ENGINE

APPLICANT(S) FOR DO/EO/US

OGAWA, Masahiro; MITANI, Shinichi; SUGIURA, Aiko

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. ☑ The US has been elected (Article 31).
- 5. ☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  $\square$  is attached hereto (required only if not communicated by the International Bureau).
  - b. 🗹 has been communicated by the International Bureau.
  - c.  $\square$  is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. Dis attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a Dare attached hereto (required only if not communicated by the International Bureau).
  - b. Dhave been communicated by the International Bureau.
  - c.  $\square$  have not been made; however, the time limit for making such amendments has NOT expired.
- 8. 

  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9.  $\square$  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

- 11. ☑ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. ☑ A preliminary amendment.
- 14. ☐ An Application Data Sheet under 37 CFR 1.76.
- 15. ☑ A substitute specification.
- 16. ☐ A power of attorney and/or change of address letter.
- 17. 

  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. ☑ Other items or information: INTERNATIONAL SEARCH REPORT

INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO. (if known, see 37 CFR 1.5) PCT/JP2005/001299 960/216 21. The following fees are submitted: Basic National Fee.....\$ 300.00 300.00 22 🗹 Examination Fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....\$ 100.00 All other situations ...... \$ 200.00 \$ 200.00 23. ✓ Search Fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority \$ 100.00 International Search Report prepared and provided to the Office.....\$ 400.00 \$ 400.00 All other situations \$500.00 \$ 900.00 TOTAL OF ABOVE CALCULATIONS ☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or RATE fraction thereof (round up to a whole number) 0/50=0 69 x \$250 -0-0 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). NUMBER NUMBER EXTRA **CLAIMS** RATE **FILED Total Claims** 20-20= -0-0 x \$50.00 3 - 3 = x \$200.00 Independent Claims 0 -0--0-MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 **TOTAL OF ABOVE CALCULATIONS =** 900.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated -0above are reduced by 1/2. SUBTOTAL = 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). -0-TOTAL NATIONAL FEE 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). -0-\$40.00 per property TOTAL FEES ENCLOSED = 900.00 Amount to be refunded: Amount to be a. 🗆 A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 11-0600 in the amount of \$900.00 to over the above fees. b. **☑** A duplicate copy of this sheet is enclosed. c. 🗹 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: KENYON & KENYON LLP SIGNATURE 1500 K Street, N.W., Suite 700 (Reg. No. 43,572) NAME: William E. Curry Washington, DC 20005 CUSTOMER NO. 23838 DATE: 14 August 2006